Results of Brief Enforcement Hearings Christine Yorozu, Chair Thursday, November 1, 2001

Note: Brief Enforcement Hearings are heard by a Single Commissioner, normally the Chair of the Commission. Brief enforcement hearings are held when the facts are undisputed, the violations appear to be relatively minor in nature, and a penalty no greater than \$500 will be assessed for the violations. A respondent may appeal the results of a brief enforcement hearing by asking the full Commission to review the findings of the Single Commissioner.

1. **Protect Our Pets and Wildlife** – Case #01-134

Results: The Chair declined to hear the case, referring it to the full Commission for hearing.

2. **Kevin Wimsett** – Case #01-145

Results: The Respondent was found to have violated RCW 42.17.080 and RCW 42.17.090 by failing to timely file summary reports of contributions and expenditures (PDC form C-4) and by failing to timely file reports of contributions received (PDC form C-3) during the 2000 election cycle.

Assessed Penalty: \$500, with \$300 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order. The penalty shall be paid within 30 days of the date of the order.

3. WA St Council of Firefighters – Case #00-893

Results: The Respondent was found to have committed four violations of RCW 42.17.640 by making, during the 1998 election cycle, an over-limit primary election contribution of \$150 and an over-limit general election contribution of \$125 to the Citizens to Elect Frank Gavaldon committee, by making an over-limit contribution of \$200 to the Friends for Karen Keiser committee, and by making an over-limit contribution of \$100 to the Committee to Elect Jeanine Long.

Assessed Penalty: \$500, with \$200 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

4. **Active in Democracy** – Case #00-920

Results: The Respondent was found to have committed three violations of RCW 42.17.640 by making, during the 1998 election cycle, an over-limit primary election contribution of \$150 and an over-limit general election contribution of \$125 to the Citizens to Elect Frank Gavaldon committee, and by making an over-limit contribution of \$200 to the Friends for Karen Keiser committee.

Assessed Penalty: \$300, with \$300 suspended on the condition the Respondent commits no further violations of RCW 42.17 for a period of four years from the date of the order.

5. **ATU Local #757** – Case #01-220

Results: The Respondent did not violate RCW 42.17.180. The Chair acted to dismiss the case.

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6. Amalgamated Transit Union Local #758 – Case #01-221

Results: The Respondent was found to have violated RCW 42.17.180 by failing to timely file a Special Political Expenditures Report, PDC form C-7, by February 28, 2001. The Chair acted to defer the assessment of a penalty for a period of four years. If the Respondent is found in violation of RCW 42.17 during the four years following the date of entry of the order, PDC Case #01-221 will be returned to a brief enforcement hearing for assessment of a penalty. If the Respondent is not found in violation of RCW 42.17 during the four years following the date the order, the case will <u>not</u> be returned to a brief enforcement hearing and there will be no assessed penalty.

7. Amalgamated Transit Union Local #1384 – Case #01-223

Results: The Respondent was found to have violated RCW 42.17.180 by failing to timely file a Special Political Expenditures Report, PDC form C-7, by February 28, 2001. The Chair acted to defer the assessment of a penalty for a period of four years. If the Respondent is found in violation of RCW 42.17 during the four years following the date of entry of the order, PDC Case #01-223 will be returned to a brief enforcement hearing for assessment of a penalty. If the Respondent is not found in violation of RCW 42.17 during the four years following the date the order, the case will <u>not</u> be returned to a brief enforcement hearing and there will be no assessed penalty.

8. **Lori Simmons** – Case #02-105

Results: The Respondent was found to have violated RCW 42.17.240 by failing to file a Personal Financial Affairs Statement, PDC form F-1, within two weeks of becoming a candidate.

No civil penalty was assessed.

9. **Donald Root** – Case #02-239

Results: The Respondent was found to have violated RCW 42.17.240 by failing to file a Personal Financial Affairs Statement, PDC form F-1, within two weeks of being appointed to office.

Assessed Penalty: \$100, to be paid within 30 days of the date of the order.

10. **Matt Madison** – Case #02-256

Results: The Respondent was found to have violated RCW 42.17.240 by failing to file a Personal Financial Affairs Statement, PDC form F-1, within two weeks of being appointed to office.

Assessed Penalty: \$150.

Brief enforcement hearings were held for 14 Respondents who PDC staff alleged had failed to timely file a Employer's Lobbying Expenses Report (PDC Form L-3) identifying each lobbyist or lobbying firm for which a salary, fee or lobbying related expenditure was made during calendar year 2000. The L-3 report was due by February 28, 2001. The results of the brief enforcement hearings are included on a separate spreadsheet.